



### **Access Data Hires Compliance Industry Expert to Lead Client Development**

**Lake Success, New York – September 30, 2009** – Broadridge Financial Solutions, Inc. (NYSE:BR) today announced that its subsidiary, Access Data Corp., has hired Bernard (Bernie) Brockgreiten to lead client development for the firm's Compliance and Risk Management product line.

Broadridge's Access Data is a leading provider of enterprise reporting and data management services for asset management firms and financial intermediaries. Its flagship product, SalesVision, is an advanced compliance solution that assists mutual funds in meeting the requirements of SEC Rule 22c-2.

In this role, Mr. Brockgreiten will be responsible for developing key compliance relationships with asset management and broker-dealer firms, and will play a pivotal role in the strategic expansion of Access Data's Intermediary Oversight capabilities. Mr. Brockgreiten reports directly to Chip Miller, Executive Vice President, Access Data.

"Bernie is a results-driven leader who has tackled some of the industry's most difficult compliance challenges, working alongside the Investment Company Institute (ICI) and Securities and Exchange Commission (SEC) to create the changes needed to regain shareholder confidence," said Mr. Miller. "His compliance expertise, industry viewpoint and collaborative spirit are invaluable as we build on our compliance services," Mr. Miller added.

Prior to joining Access Data, Mr. Brockgreiten was Senior Vice President and Senior Manager for Corporate Compliance at Putnam Investments where he built the infrastructure to support Putnam's implementation of SEC Rule 22c-2, including systems, personnel, policies and procedures. He also created the strategic plan for Putnam's Intermediary Oversight Program and implemented an intermediary compliance relationship management system that tracked interactions to support SEC audits. In addition, Mr. Brockgreiten successfully led a monthly industry discussion forum on Rule 22c-2 which included more than 300 participants from 75 firms. In 2008, he co-authored a white paper on Intermediary Oversight Guidelines with four other mutual fund companies.

Mr. Brockgreiten brings over 17 years of operations management and systems development experience working directly for investment management firms, with an additional 13 years of working for systems providers and consultants to the financial services industry.

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#### **About Broadridge**

Broadridge Financial Solutions, Inc., with over \$2.1 billion in revenues in fiscal year 2009 and more than 40 years of experience, is a leading global provider of technology-based solutions to the financial services industry. Our systems and services include investor communication, securities processing, and clearing and outsourcing solutions. We offer advanced, integrated systems and services that are dependable, scalable and cost-efficient. Our systems help reduce the need for clients to make significant capital

investments in operations infrastructure, thereby allowing them to increase their focus on core business activities. For more information about Broadridge, please visit [www.broadridge.com](http://www.broadridge.com).

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